



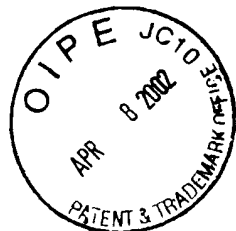
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY OF COMMERCE AND
OWNER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,636	11/29/2001	Carl J. Pacifico	1001-13 RES

Hoffman & Baron LLP

6900 Jericho Turnpike

Syosset, NY 11791



FEB - 6 2002

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Correction of the following is required to complete the reissue application

☒ The reissue specification has not been provided in double-column format as is required by 37 CFR 1.173(a)(1). A surcharge is not required when supplying this item.

Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

☐ Consent of the assignee is present, but is unsigned. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided, to comply with 37 CFR 1.172. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). See MPEP § 324. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

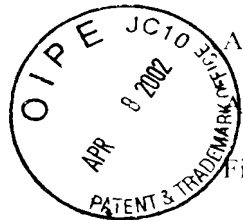
☐ Assignee's statement under 37 CFR 3.73(b) is present, but is unsigned. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

A copy of this notice **MUST** be returned with the reply.

Office of Initial Patent Examination (703)308-1202

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Pacifico et al.

Examiner: Unassigned

Application No.: 09/996,636

Group Art Unit: Unassigned

Filing Date: November 29, 2001

Docket: 1001-13 RES

For: SENSITIVE SUBSTANCE
ENCAPSULATION

Dated: March 28, 2002

Box Reissue
Commissioner for Patents
Washington D.C. 20231

I hereby certify this correspondence is being
with the United States Postal Service as first class
postpaid in an envelope, addressed to:
Commissioner for Patents, Washington, DC 20231

on March 28, 2002

Signature: R. Bayer

RESPONSE TO FORMALITIES LETTER

Sir:

In response to the Formalities Letter, mailed on January 28, 2002 for the above-
identified reissue application, enclosed herewith are the following:

1. Copy of Formalities Letter.
2. Combined Declaration and Power of Attorney executed by the inventor, Carl J. Pacifico.
3. Petition under 37 C.F.R. §1.47.
4. Declaration in support of Petition under 37 C.F.R. §1.47.
5. FEES: \$370.00 Basic Filing Fee
\$ 65.00 Surcharge
\$126.00 Fee for 14 claims over 20
\$ 42.00 Fee for 1 independent claim over 3
\$130.00 Fee for Petition under 37 C.F.R. §1.47 (a).
\$733.00

A check in the amount of \$733.00 is enclosed.

The Applicants qualify for a Small Entity status under 37 C.F.R. §1.9(f).

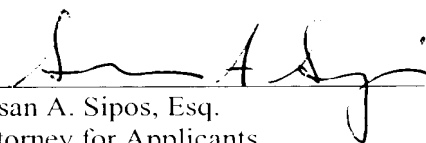
Accordingly, the basic filing fee (37 C.F.R. §1.16(a)), surcharge (37 C.F.R. §1.16(1)), fee for

claims in excess of twenty (37 C.F.R. §1.16(c)), and fee for independent claims in excess of three (37 C.F.R. §1.16(b)) are paid as a small entity.

The undersigned affirms that the application filed in the PTO is the application that the inventors executed by signing the application.

If any additional fees are due or an overpayment has been made, please charge or credit Deposit Account No. 08-2461, accordingly. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,



Susan A. Sipos, Esq.
Attorney for Applicants
Registration No. 43,128

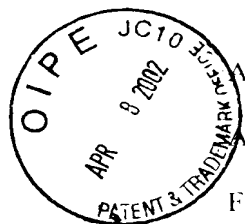
Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, NY 11791
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150011

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Pacifico et al.

Examiner: Unassigned

Application No.: 09/996,636

Group Art Unit: Unassigned

Filing Date: November 29, 2001

Docket: 1001-13 RES

For: SENSITIVE SUBSTANCE
ENCAPSULATION

Dated: March 28, 2002

Box Reissue
Commissioner for Patents
Washington D.C. 20231

I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
paid in an envelope, addressed to:
Commissioner for Patents, Washington, DC 20231

March 28, 2002

Signature: [Signature]

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts for the above-identified reissue
application, mailed on January 28, 2002, enclosed herewith are the following:

1. Copy of the Notice; and
2. The Reissue Specification in double-column format as is required by 37 C.F.R. 1.173
(a)(1).

Respectfully submitted,

[Signature]

Susan A. Sipos, Esq.
Attorney for Applicants
Registration No. 43,128

Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, NY 11791
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UNITED STATES PATENT AND TRADEMARK OFFICE

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Hoffman & Baron LLP
6900 Jericho Turnpike
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FEB - 6 2002

CONFIRMATION NO. 4050

FORMALITIES LETTER



OC000000007374996

Adjustment date: 07/02/2002 SDIRETA1
6/11/2002 ADUSMAN1 00000018 09996636
1 FC:202
2 FC:203

-126.00 OP
-252.00 OP

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$336.
 - \$252 for 14 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1206.

Adjustment date: 04/11/2002 ADUSMAN1
04/10/2002 ADUSMAN1 00000056 09996636
01 FC:201
02 FC:202
03 FC:203

370.00 OP
42.00 OP
126.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/10/2002 ADUSMAN1 00000056 09996636

01 FC:201 370.00 OP
02 FC:202 42.00 OP
03 FC:203 126.00 OP
04 FC:205 65.00 OP

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04/11/2002 ADUSMAN1 00000001 09996636
370.00 OP
42.00 OP
126.00 OP

Repln. Ref: 07/02/2002 SDIRETA1 0014141700
DAH:082461 Name/Number:09996636
FC: 704

BEST AVAILABLE COPY

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R. GLENN SCHRÖEDER†

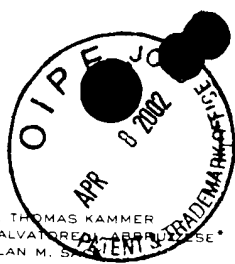
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* NOT ADMITTED IN N.Y.

† SENIOR ATTORNEYS

◇ ADMITTED IN N.Y. AND CT.

December 11, 2001

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Wen-Hsin Wu
17 Anna Court
Middletown, New York 10941

Re: Reissue Application for U.S. Patent No.: 6,251,478 B1 for:
SENSITIVE SUBSTANCE ENCAPSULATION
Our Docket No.: 1001-13 RES

Dear Wen-Hsin Wu:

At the request of Dr. Patricia Siuta-Cruce, our firm is prosecuting the above-identified reissue application. The reissue application has been filed to correct an error which appeared in the issued patent.

A Combined Declaration and Power of Attorney, signed by each of the inventors, is required to complete the filing of a reissue application. Accordingly, enclosed please find the following documents:

1. A copy of the above-identified reissue application including:
 - a. the specification;
 - b. the claims; and
 - c. the Amendment to the reissue application.
2. A Combined Declaration and Power of Attorney.

Kindly review the enclosed documents and sign the Combined Declaration and Power of Attorney. Please return the signed documents to the undersigned for filing with the U.S. Patent and Trademark Office.

HOFFMANN & BARON, LLP

ATTORNEYS AT LAW

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SYOSSET, NEW YORK 11791

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OF COUNSEL
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* NOT ADMITTED IN N.Y.
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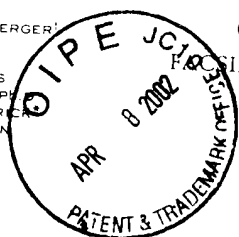
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ANNA-LISA GALLO*
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JOY I. FARBER‡

PATENT AGENTS
GLORIA K. SZAKIEL, Ph.D.
LINDA T. PARKER, Ph.D.

ROHINI K. GARG



December 11, 2001

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Marta Fraley
804 Cooley Road
Parksville, New York 12768

Re: Reissue Application for U.S. Patent No.: 6,251,478 B1 for:
SENSITIVE SUBSTANCE ENCAPSULATION
Our Docket No.: 1001-13 RES

Dear Marta Fraley:

At the request of Dr. Patricia Siuta-Cruce, our firm is prosecuting the above-identified reissue application. The reissue application has been filed to correct an error which appeared in the issued patent.

A Combined Declaration and Power of Attorney, signed by each of the inventors, is required to complete the filing of a reissue application. Accordingly, enclosed please find the following documents:

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Kindly review the enclosed documents and sign the Combined Declaration and Power of Attorney. Please return the signed documents to the undersigned for filing with the U.S. Patent and Trademark Office.